

¹ Charging of spinning insulating objects by plasma ² and photoemission

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7 The charging of spinning insulating objects by plasma and photoemission
8 is studied with the particle-in-cell method. Unidirectional photon flux, dif-
9 ferent angular velocities of the object, and different plasma flow speeds are
10 considered. Photoemission can lead to a positive total charge and electric dipole
11 moment on the object. The spinning of the object redistributes the surface
12 charge. The total object charge oscillates in time with the period matching
13 the period of the object rotation. Plasma potential and density in the vicin-
14 ity of the object oscillate with the same frequency. The plasma is rarefied
15 close to a positively charged object, and the density wake diminishes when
16 the object is charged negatively. The time averaged charge depends on the
17 angular velocity of the object.

1. Introduction

18 The charging of an object by plasma is one of the basic problems in space and plasma
 19 physics. If only plasma currents are considered, the charge on the object is usually negative
 20 [Svenes and Trøim, 1994; Vladimirov *et al.*, 2005; Miloch *et al.*, 2007]. The plasma flow
 21 introduces asymmetry to the object's charging and gives rise to wakes in the plasma den-
 22 sity and potential [Vladimirov and Nambu, 1995; Melandsø and Goree, 1995; Vladimirov
 23 and Ishihara, 1996; Ishihara and Vladimirov, 1997]. This asymmetry is more pronounced
 24 for insulating than for conducting objects [Miloch *et al.*, 2007, 2008a]. Photoelectric cur-
 25 rent can lead to positively charged objects [Shukla and Mamun, 2002; Vladimirov *et al.*,
 26 2005]. If photoemission is due to a directed photon flux, the electric dipole moment can
 27 develop on insulating objects. There is a significant difference between the wake of the
 28 negatively and positively charged object, with a strong density rarefaction for the latter
 29 [Miloch *et al.*, 2008b].

30 The understanding of the charging of an object in a complex environment with sunlight
 31 and plasma flow is of concern for the operation of spacecrafts or sounding rockets [Svenes
 32 and Trøim, 1994; Roussel and Berthelier, 2004]. The charging of insulating components
 33 of such objects can result in strong potential differences between the shadow and sunlit
 34 sides. Changes of the plasma parameters in the vicinity of the object need to be accounted
 35 for when analyzing the instrument data [Lai *et al.*, 1986]. The problem can be further
 36 complicated by the spinning of an object, whether it is an intrinsic rotation of an asteroid
 37 or dust grain, or imposed for the attitude and stability control of a spacecraft or rocket
 38 [Lee *et al.*, 2001; Kurihara *et al.*, 2006].

39 Analytical models for satellites spinning in sunlight demonstrated the development of
 40 potential barriers that decelerate photoelectrons and allow the sunlit side of the spacecraft
 41 to be negatively charged [Tautz and Lai, 2006, 2007]. These models assume the satellite
 42 to be much smaller than the Debye length, and replace the Poisson equation with the
 43 Laplace equation for vacuum. Moreover, they do not account for the plasma flow. If the
 44 plasma flow and intermediate sizes of the satellite with respect to the Debye length are
 45 considered, the problem becomes highly nonlinear. A self-consistent analytical model for
 46 such a problem is difficult to develop, and the numerical analysis seems appropriate.

47 In this letter we discuss results from the particle-in-cell (PIC) simulations of spinning
 48 insulating objects in flowing plasmas exposed to unidirectional photon flux. The analysis
 49 is relevant for such objects as satellites, rockets or asteroids in space, boulders on lunar
 50 surface, or dust grains in experimental devices [Horányi, 1996; Fortov *et al.*, 1998; Khrapak
 51 *et al.*, 1999].

2. Numerical code

52 The analysis is carried out in two spatial dimensions in Cartesian coordinates using the
 53 PIC numerical code described in detail by Miloch *et al.* [2007, 2008a, b, c]. The electrons
 54 and ions are treated as individual particles, with the ion to electron mass ratio being
 55 $m_i/m_e = 120$. The plasma density is $n = 10^{10} \text{ m}^{-3}$. The collisionless plasma flows in the
 56 positive x direction, and three plasma drift velocities are considered $v_d = \{0, 0.5, 1.5\}C_s$,
 57 with C_s being the speed of sound for adiabatic ions and isothermal electrons: $C_s =$
 58 $\sqrt{\kappa(T_e + \gamma T_i)/M}$, where $\gamma = 5/3$. The electron to ion temperature ratios are $\zeta = T_e/T_i =$
 59 $\{5, 100\}$, where $T_e = 0.18 \text{ eV}$.

60 A circular object of radius $r = 0.375$ in units of the electron Debye length λ_{De} is placed
 61 within a simulation box of size of $50 \times 50 \lambda_{De}$. Such an object can be understood as
 62 an intersection of a cylindrical object in a three dimensional system, and it is initially
 63 charged only by the collection of electrons and ions. To represent a perfect insulator,
 64 each plasma particle that hits the surface remains at this position for all later times
 65 contributing to the local charge density. The object spins throughout the whole simulation
 66 with angular velocity ω of 0.5π , 2π or alternatively 3π in units of rad/τ_i , where τ_i is the
 67 ion plasma period. A directed photon flux is switched on at $39\tau_i$, when it can be assumed
 68 that the surface charge distribution has reached a stationary level. The code is run for
 69 approximately $50\tau_i$.

70 The code allows for an arbitrary angle of the photon incidence on the object. When
 71 a photon hits the object surface, a photoelectron of energy 1 eV is produced at distance
 72 $l = sv\Delta t$ from the surface, where s is an uniform random number $s \in (0, 1]$, Δt is the
 73 computational time step, and v is the photoelectron speed. Photoelectron velocity vectors
 74 are uniformly distributed over an angle of π and directed away from the surface which is
 75 in accordance with Lambert's law. In this study the incoming photons are usually aligned
 76 with the direction of the plasma flow, i.e., $\alpha = 0^\circ$. As a control case, we also consider
 77 the angle of 90° between the incoming ions and the plasma flow ($\alpha = 90^\circ$). The photon
 78 flux is $\Psi = 1.25 \times 10^{19} \text{m}^{-2}\text{s}^{-1}$. The scheme of a typical numerical arrangement is shown
 79 in Fig. 1. Points close to the object's surface, that are labeled with numbers, indicate
 80 probes for potential variations that are described in more detail in Results section.

3. Results

With the onset of radiation, the total charge on a spinning object becomes more positive and starts to oscillate in time. The period of oscillations matches the period of the full rotation of the object, see Fig. 2. The charge variations are large for slowly spinning objects. The mean charge depends on the plasma flow velocity. It reaches lower values for faster plasma flows v_d , and is more positive with higher angular velocities of the object ω . The mean charge values for different v_d and ω are summarized in Table 1. Since there is little difference in the charging characteristic for $\zeta = 5$ and $\zeta = 100$, only the results for $\zeta = 100$ are shown in Fig. 2 and Table 1.

Photoemission due to unidirectional photons leads to the development of an electric dipole moment on the object. The electric dipole moment is initially antiparallel to the photon direction, and it co-rotates with the object. It vanishes at the certain angle between the incoming photon direction and the dipole moment, which increases with increasing ω . The electric dipole moment antiparallel to the photon direction reappears after the full rotation of the object.

In flowing plasmas, the wake in the plasma density forms behind a spinning object. This wake oscillates in time. The region of rarefied plasma density has large spatial extent when the object is positively charged, while for a negatively charged object, the plasma density in the wake can be enhanced and the ion focusing observed. The oscillatory nature of the wake is demonstrated in the ion density plots in Fig. 3a). The transition between the ion wake and the enhanced ion density is asymmetric. The edge of the wake is distorted by

¹⁰¹ the local enhancement in the ion density. This distortion grows in time and reduces the
¹⁰² wake size.

¹⁰³ Similar rarefaction in the ion density and scenario for the wake closure are observed
¹⁰⁴ for stationary plasmas, with the difference that the ion density is rarefied close to the
¹⁰⁵ positively charged side of the object and the wake does not form.

¹⁰⁶ Without photoemission, a symmetrical sheath forms around the object, while for flowing
¹⁰⁷ plasmas the wake behind the object is observed. The potential distribution in the vicinity
¹⁰⁸ of the object is governed by the photoemission and associated electric dipole moment. The
¹⁰⁹ oscillations of the total charge alter the potential distribution, and for flowing plasmas,
¹¹⁰ the wake in the potential is highly distorted, see Fig. 3b). Since many instruments on
¹¹¹ the rocket and satellite payloads are installed on extended booms, it is vital to examine
¹¹² to what extend a spinning insulating body modifies the surrounding plasma. In Fig. 4 we
¹¹³ show potential variations at four point-like probes at distances $d = \lambda_{De}$ from the object
¹¹⁴ surface in the direction parallel and perpendicular to the photon and plasma flows. The
¹¹⁵ orientation of each of the probe relative to the plasma flow is shown in Fig. 1. For all
¹¹⁶ probes, we observe oscillations with the periods equal to the rotation period of the object.

¹¹⁷ The amplitudes of oscillations on the side of the object that is charged predominantly
¹¹⁸ positive (i.e., probes 1 and 2) are moderate as compared to probes 3 and 4. For the latter
¹¹⁹ probes, other strong, repeatable components are present in the signal. The amplitude of
¹²⁰ potential variations for probes 3 and 4 are twice as large as for other probes.

¹²¹ For temperature ratio $\zeta = 5$, the total charge on the object is less negative than for
¹²² $\zeta = 100$ due to the ion mobility. The charging characteristic with photoemission is similar

¹²³ for both cases, with the negative part of oscillations being more negative for colder ions.

¹²⁴ The ion wake is smaller and less pronounced for warmer ions, but the analyses of potential

¹²⁵ oscillations close to the object are similar for both temperature ratios.

¹²⁶ For the control case of the photons incidence angle of 90° with respect to the plasma

¹²⁷ flow, the wake is spatially different from the case for $\alpha = 0^\circ$. However, the principal

¹²⁸ mechanism and oscillations of the total charge in time are the same. The mean charge is

¹²⁹ slightly higher for $\alpha = 90^\circ$ than for $\alpha = 0^\circ$.

4. Discussion and Conclusions

¹³⁰ With the onset of the photoemission due to unidirectional photon flux, an electric

¹³¹ dipole moment develops on the object. Most of the positive charge is localized on the

¹³² illuminated side of the object, while the opposite side is predominantly negatively charged.

¹³³ For a spinning object, the photoelectric current neutralizes negatively charged regions,

¹³⁴ but the photoemission rate can often be too low to charge them positively. Only after

¹³⁵ approximately one rotation period of the object, photoemission can lead to the positive

¹³⁶ total charge on the object and recovery of the electric dipole moment. This suggests

¹³⁷ that the initial charging of the object by photoemission is robust, and that the charge

¹³⁸ redistribution on the object's surface is insufficient to compensate for the residual charge

¹³⁹ with the spinning rates considered in this study.

¹⁴⁰ This picture should be complemented by the ion and electron dynamics close to the

¹⁴¹ object. In case of the plasma flow, the wake is formed. For spinning objects, this wake

¹⁴² is distorted on one side. Spinning, negatively charged side of the object accelerates ions

¹⁴³ towards the wake and enhances the plasma density locally. The wake is further distorted

¹⁴⁴ due to the enhancement in the plasma density and neutralization of the positively charged
¹⁴⁵ regions on the surface.

¹⁴⁶ A spinning insulating object excites waves in the system with the wave frequency match-
¹⁴⁷ ing the frequency of the object rotation. While these waves are damped further away from
¹⁴⁸ the object, they are conspicuous close to the object's surface. Since the plasma density is
¹⁴⁹ inhomogeneous and the wake oscillates, the wave propagation is complicated. On the side
¹⁵⁰ predominantly positively charged, the variations in the potential distribution are smooth
¹⁵¹ with a single dominant frequency observed. On the negatively charged side, where the
¹⁵² wake oscillations modify the plasma to a high degree, the potential variations are larger,
¹⁵³ and modulations of the main wave are observed.

¹⁵⁴ Previous analytical works by *Tautz and Lai* [2006, 2007] did not include plasma dynam-
¹⁵⁵ ics around the object, and hence stationary solutions could be obtained. In the limiting
¹⁵⁶ case of a small object with respect to λ_{De} , one can expect such results with the conspicu-
¹⁵⁷ ous potential barrier for photoelectrons, especially for fast spinning insulating objects. We
¹⁵⁸ have shown, however, that in the regions where the plasma dynamics around the object is
¹⁵⁹ important, the result will be different, and the photoemission and spinning of the object
¹⁶⁰ break the symmetry of the object charging even without the plasma flow. In particular,
¹⁶¹ the satellite or rocket instrument readings can be influenced by the rotation, which has to
¹⁶² be accounted for in data analysis. For a spinning satellite traveling through regions with
¹⁶³ different plasma densities and temperatures it will often be necessary to employ these two
¹⁶⁴ different models.

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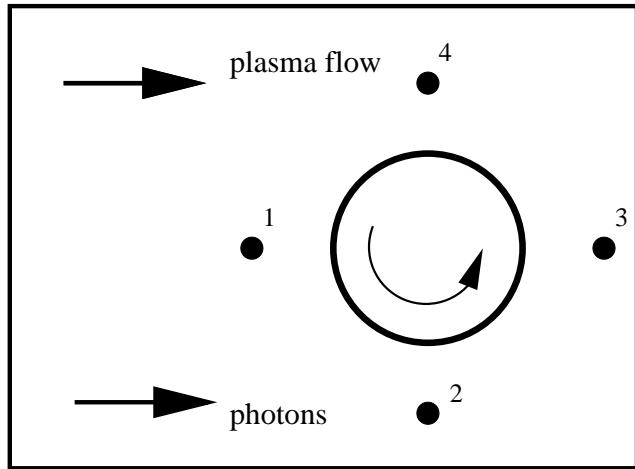


Figure 1. Scheme of a typical numerical arrangement. Points labeled with numbers correspond to the probes for potential variations in the vicinity of the object. The object rotates anticlockwise.

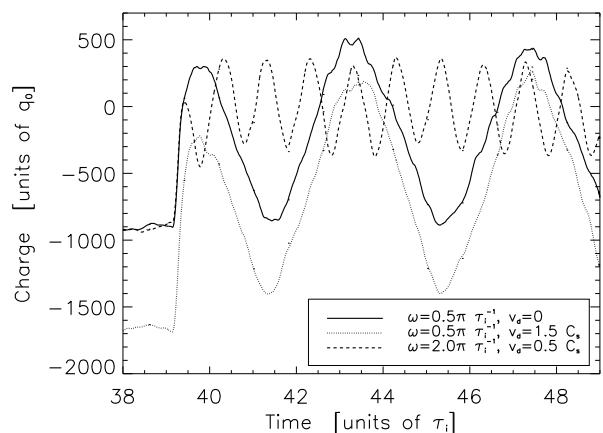


Figure 2. The charging of spinning insulating object during photoemission for different angular velocities ω and speeds of the plasma flow v_d for $\zeta = 100$. The results are smoothed with a moving box filter for presentation.

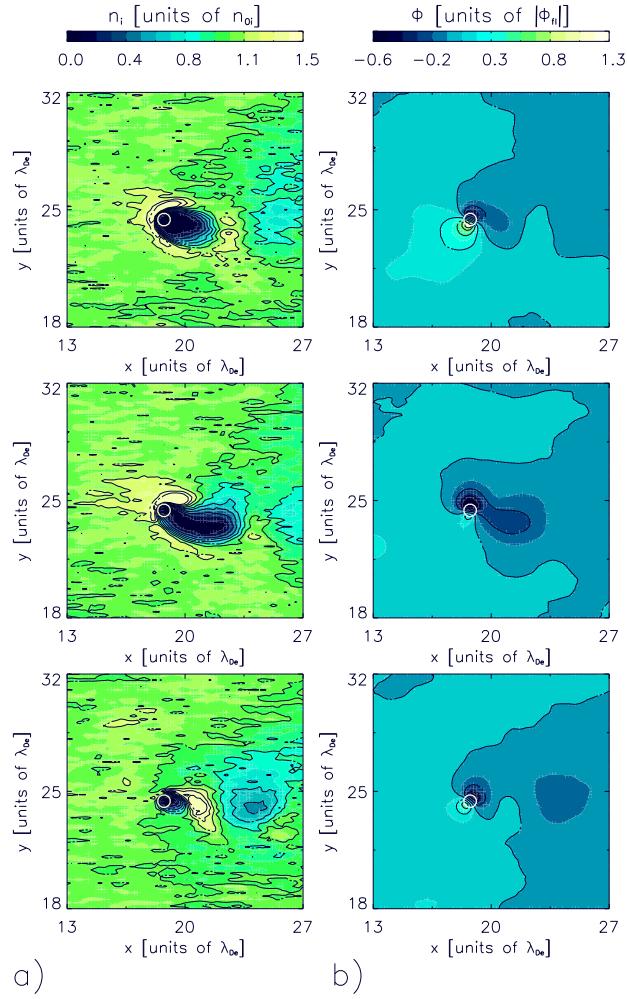


Figure 3. Ion density (a) and electric potential (b) for a spinning insulating object during photoemission with $\omega = 2\pi\tau_i^{-1}$, $v_d = 1.5C_s$, and $\zeta = 100$. Different time instances are shown for which the total charge on the object is positive (top), the erosion of the wake begins (middle), and the total charge on the object is negative (bottom).

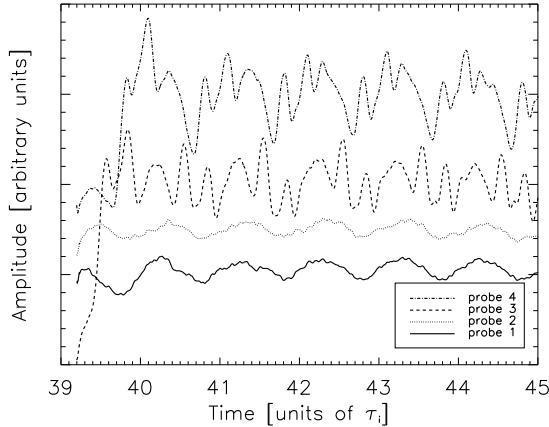


Figure 4. Potential variations for different probes at distances $d = \lambda_{De}$ to the surface of the spinning object for $v_d = 1.5C_s$, $\omega = 2\pi\tau_i^{-1}$, and $\zeta = 100$. The orientation of the probes with respect to the plasma flow and direction of photons is shown in Fig. 1.

Table 1. The mean charge value on the spinning insulating object in the presence of photo-emission for $\zeta = 100$. The charge is presented in units of elementary two-dimensional charge: $q_0 = e\sqrt{n}$, where e is the elementary charge in a three dimensional system and n is a plasma density in a two dimensional system.

$v_d(C_s)$	$\omega = 0.5\pi$	$\omega = 2.0\pi$	$\omega = 3.0\pi$
	$q(q_0)$	$q(q_0)$	$q(q_0)$
0	-247	11	5
0.5	-368	2	-7
1.5	-648	-180	-120